





# MiLCA

Protocol for including Mitigation actions in Agricultural Lifecycle Assessment

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## 19 CITATION

- 20 [This protocol should be cited as Simmons, A.T., Cowie, A.L., Meyer, R.S., Grant, T.,
- 21 Macdonald, A., Eady, S and Eckard, R. 2024, Protocol For Including Mitigation Actions
- 22 in Agricultural Lifecycle Assessment......]

## 23 ACKNOWLEDGEMENTS

- 24 Organizations who collaborated to deliver this project
- Arla Foods
- Danone
- Fonterra
- FrieslandCampina
- Nestle
- 30 New Zealand Agricuotural Greenhouse Gas Research Centre
- 31
- 32

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## 115 **1** INTRODUCTION

116 Supply chains and consumers are demanding foods with lower carbon footprints 117 (CF), that remain safe to consume, in recognition of the need to address climate 118 change. The dairy industry is responding to these demands by adopting technologies 119 that reduce greenhouse gas (GHG) emissions from dairy production and it is 120 expected that new technologies will continue to be developed. Confidence that GHG 121 emissions reductions are accurately quantified is required for CF claims associated 122 with the implementation of a mitigation **technology** to be accepted by supply chains 123 and consumers. This confidence can be provided by the global dairy industry 124 adopting a consistent approach to objectively assess the robustness of mitigation 125 technologies, and a conservative approach to estimating the emissions reductions 126 that occur. This protocol set outs criteria and approaches that, when applied to a 127 **GHG** mitigation **technology**, will provide confidence to consumers and the supply 128 chain that the claimed GHG emissions reductions are robustly validated and their 129 products are safe to consume.

130

131 The protocol provides guidance on determining whether a mitigation **technology** has

adequate **evidence** to support its adoption by the dairy industry and the integration

133 of the **GHG emissions reduction** associated with the implementation of the

134 technology into a CF calculator. The GHG emissions reductions can also be

calculated manually if required. The approach to assessing the robustness of

136 mitigation technologies and **conservative**ly estimating the **GHG emissions reduction** 

137 that can be claimed was developed to act as a foundation upon which a standardised

- approach to the provision of robust GHG emissions reductions could be developedfor all livestock sectors.
- 140

141 There are numerous initiatives developing guidance to ensure that quantification of 142 GHG emissions and emissions reductions in livestock sectors are robust. This 143 protocol has been developed to integrate the concepts of technology efficacy with 144 the quantification of an emissions reduction associated with the implementation of 145 the **technology** and has drawn on the knowledge provided by members of other known initiatives to identify areas of complementarity. The protocol is a live 146 147 document that will be updated as initiatives deliver results that are relevant to the 148 objectives of the protocol and that, when integrated, improve the robustness of 149 protocol outcomes.

- 151 This protocol is suitable to assess technologies as described in the definitions (section
- 152 3). It is not suitable to assess the **GHG emissions reduction**s associated with changes
- 153 to dairy management practices that deliver emissions reductions that are captured
- 154 with existing GHG emissions calculations, such as through changes in herd
- 155 productivity. The protocol does not include guidance on incorporating carbon
- 156 sequestration in the CF of milk production; guidance for this is provided in the C seq
- 157 guidelines (IDF, 2022a). Where a statistical regression approach (section 9.2.2) is
- 158 used to calculate an emissions reduction, the protocol will require the calculation of
- 159 a prediction interval. Determining the correct approach to generate a prediction
- 160 interval may require the services of a statistician or biometrician.
- 161 The protocol consists of the main document and includes appendices with worked
- 162 examples for two existing technologies as appendices and recommendations for
- 163 future research that arose from the development of the protocol.
- 164 The following terminology is used throughout and is applicable to requirements with 165 which protocol users need to comply:
- 166 "shall" is used to indicate a requirement (mandatory).
- "should" is used to indicate a recommendation. 167 \_
- "may" is used to indicate permission. 168 \_
- 169 "can" is used to indicate possibility.

#### **PROTOCOL USE** 2 170

The protocol provides guidance and requirements to quantify the GHG emissions 171 172 reduction that can be claimed when a mitigation technology is implemented in a 173 dairy system. It is applicable to mitigation technologies that can be implemented on 174 dairy farms that target **GHG** sources such as **enteric methane**, on-farm fertiliser use 175 and effluent management. The protocol is designed to be used in conjunction with 176 the International Dairy Federation (IDF) CF guidance, "The IDF global Carbon 177 Footprint standard for the dairy sector" (IDF, 2022b) and can also be applied to 178 other methodologies and calculators. It provides guidance on the integration of the emissions reduction into a CF calculation. This is achieved by the calculation of the 179 adjustment factor  $GHG_{adj_{t(0.6)}}$  that is multiplied with the **GHG emissions** for a 180 181 nominated source, as calculated by an existing CF methodology, to provide an 182 adjusted estimate of GHG emissions for that source. This estimate can then then be 183 integrated into a CF calculation.

- 184
- The application of the protocol shall address five elements: 185
- 186 Description of the **technology** and implementation **context** (section 5) i. 187 including the type, name and use of the **technology**.
- 188 ii. Demonstration of product safety (section 6) including regulatory approvals 189 and consideration of the potential for any adverse environmental, animal

- welfare, dairy **product quality** or human health consequences from theproduction or use of the **technology**.
- iii. Collation of multiple pieces of evidence that supports the technology as an
   emissions reduction strategy (section 7)
- 194 iv. Assessment of quality of data used to estimate emissions (section 8)
- v. Selection of evidence that is relevant to the system(s) being assessed as a
  basis for the calculation of an adjustment factor and the use of the evidence
  to calculate the adjustment factor (section 9).
- 198
- 199 A flow chart of the process is provided in APPENDIX A.
- 200
- 201 The protocol was written in the **context** of assessing **emissions reduction**s
- 202 retrospectively however it can be applied prospectively evaluate a **technology** prior
- 203 to adoption or project the **GHG emissions reduction** that may result from
- 204 implementation.
- 205

206	3 TERMS, DEFINITIONS AND ABBREVIATED TERMS
207	
208	Terms in the glossary are bolded throughout the document for reference.
209	
210	Abatement
211	GHG removals by sinks and/or reduction in GHG emissions by sources
212	
213	Baseline (Reference case)
214	A reference that provides the basis for comparison. In this document it refers to the
215	system without use of the mitigation <b>technology</b> .
216	
217	Biomass
218	Organic material excluding material that is fossilised or embedded in geological
219	formations, including living and dead organic matter (trees, crops, grasses, plant
220	litter, algae, animals, manure, and waste of biological origin).
221	
222	Carbon
223 224	The chemical element with the symbol C.
225	Carbon credit
226	Tradeable certificate representing one tonne of carbon dioxide equivalents (CO2e) in
227	GHG emission reductions, or GHG removals. Carbon credits are generated by GHG
228	abatement projects and quantified relative to a baseline. Carbon credits are
229	commonly purchased to offset <b>GHG</b> emission of the purchasing entity.
230	
231	Carbon crediting scheme
232	Buying and selling carbon credits generated by activities that reduce GHG emissions
233	or achieve GHG removals. Emissions trading can occur in government markets (state,
234	regional or national) and on the voluntary market. <b>Carbon credit</b> schemes commonly
235	apply integrity criteria to ensure that the credits represent the stated <b>GHG</b>
230	of double counting and <b>leakage</b> use of appropriate baselines, additionality, and
238	permanence or measures to address impermanence.
239	
240	
241	
242	

#### 243 Carbon dioxide (CO<sub>2</sub>)

- A naturally occurring greenhouse gas, that is also a by-product of burning fossil fuels
- 245 (such as oil, gas and coal), of burning **biomass**, of land use changes and of industrial
- 246 processes (e.g., cement production). It is the principal anthropogenic greenhouse gas
- 247 that affects the Earth's radiative balance. It is the reference gas against which other
- 248 **GHG**s are measured and therefore has a Global Warming Potential (**GWP**) of 1.
- 249 (Cowie et al., 2023)
- 250

#### 251 Carbon dioxide equivalent (CO<sub>2</sub>-e)

- 252 Unit for comparing the radiative forcing of a **GHG** to that of carbon dioxide. The
- carbon dioxide equivalent is calculated as the mass of a given **GHG** multiplied by its
- 254 global warming potential.(Cowie et al., 2023)
- 255

#### 256 Carbon footprint

- 257 Sum of GHG emissions minus GHG removals of the subject expressed as carbon
- 258 dioxide equivalents (CO2-e). The subject could be a product or an organisation.
- 259 Where the subject is an organisation, such as a company, the CF often includes
- 260 Indirect emissions also known as scope 2 and scope 3 emissions. Where the subject
- is a product, the CF includes the emissions and removals across the product life cycle.
- 262 (Cowie et al., 2023) For farm products, a partial CF is often calculated, covering the
- life cycle stages up to the farm gate, or factory gate in the case of dairy products.
- 264

## 265 Carbon neutrality

- Condition in which anthropogenic GHG emissions associated with a subject are
  balanced by anthropogenic GHG removals. The subject can be an entity such as a
  country, an organisation, a district or a commodity, or an activity such as a service or
  an event.
- 269 270

## 271 Carbon sequestration

- 272 The process of removing carbon dioxide from the atmosphere and transferring it to a
- 273 carbon pool such as vegetation, soil, ocean or geological formation. (Cowie et al.,
- 274 2023)
- 275

## 276 Claimable emissions reduction

- 277 Reduction in **GHG emissions** that can be claimed due to implementation of a
- 278 technology as calculated by subtracting the adjusted GHG emissions calculated by
- 279 this protocol from the estimated **GHG emissions** without the implementation of the
- 280 technology.

- 281 **Conservative** (in this protocol)
- 282 Claimable **GHG emissions** reduction that is less than the mean **GHG emissions**
- 283 reduction from experimental results.
- 284
- 285 **Context** (in this protocol)
- 286 The system in which the **technology** is intended to be applied. Includes the
- 287 geography and feeding pattern (*i.e.* total mixed ration or pasture-based).
- 288

#### 289 Data quality

- 290 Relevance of the data used in emissions reductions calculations to the system being
- assessed. Includes the source of the data, system representativeness, temporal
- suitability, and geographical suitability.
- 293
- 294 Emissions
- 295 See Greenhouse Gas Emissions
- 296

#### 297 Emissions reduction

- 298 A decrease in GHG emissions when compared to business-as-usual
- 299

#### 300 Enteric methane

- 301 **Methane** formed during the digestion process of ruminant animal species such as
- 302 cattle, sheep, goats, etc. Microorganisms (bacteria, archaea, fungi, protozoa and
- 303 viruses) present in the fore-stomach (reticulorumen or rumen) breakdown plant
- Biomass to produce substrates that can be used by the animal for energy and growth
- 305 with **enteric methane** produced as a by-product. Fermentation end-products such as
- 306 hydrogen, carbon dioxide, formate and methyl-containing compounds are important
- 307 substrates for the production of **methane** by the rumen's **methane**-forming archaea
- 308 (known as methanogens).
- 309

## 310 Estimation

- 311 A value that has been obtained without measurement. A qualified **estimation** is one
- 312 that has been made by a person with relevant expertise in the form of formal
- 313 qualifications and experience. An unqualified **estimation** is one that has been made
- by a person without the relevant expertise in the form of formal qualifications and
- 315 experience.
- 316
- 317 Evidence
- 318 See Piece of evidence

- 319 **Experiment** (in this protocol)
- 320 A scientific procedure undertaken to compare the impacts of a **technology** (in this
- 321 protocol) on the GHG emissions from a farming system(in this protocol). A
- 322 treatment group compared to a control group constitutes 1 **experiment**; more than
- 323 one **experiment** can be included in a single publication.
- 324
- 325 Farming system(in this protocol, also 'system')
- 326 The set of components and management that produces dairy products, including, the
- 327 facilities, animals, and feed base, as listed in Section 5.1.3.
- 328

#### 329 Global warming potential (GWP)

- An index measuring the radiative forcing following an emission of a unit mass of a
- **GHG**, accumulated over a chosen time horizon, relative to that of the reference
- 332 substance, carbon dioxide (CO2). The **GWP** represents the combined effect of the
- 333 differing times that **GHG**s remain in the atmosphere and their different effectiveness
- in causing radiative forcing, that is, in heating the Earth's atmosphere. **GWP** is
- 335 measured in units of carbon dioxide equivalents (CO2e). The most common time
- horizon is 100 years (GWP100).
- 337
- 338 Parties to the **UNFCCC** have agreed to use **GWP**100 values from the **IPCC**'s Fifth
- 339 Assessment Report (AR5) or GWP100 values from a subsequent IPCC Assessment
- 340 Report to report aggregate emissions and removals of **GHG**s under the Paris
- 341 Agreement.
- 342

# $343 \quad GHG_{adj_{t(0.6)}}$

A decimal number between 0 - 1. It is a factor calculated by the use of the protocol and is multiplied by the baseline **GHG emissions** for the relevant source as calculated using a relevant existing **GHG accounting** framework. This provides an estimate of the **GHG emissions** for that source when the **technology** is implemented in a dairy system.

349

## 350 Greenhouse gas (GHG)

- 351 Gaseous constituent of the atmosphere, either natural and anthropogenic, that
- 352 absorbs and emits radiation at specific wavelengths within the spectrum of thermal
- infrared radiation emitted by the Earth's surface, by the atmosphere itself, and by
- 354 clouds. This property causes the greenhouse effect. Water vapor (H<sub>2</sub>O), carbon
- dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), **methane** (CH<sub>4</sub>), and ozone (O<sub>3</sub>) are the primary
- 356 greenhouse gases in the Earth's atmosphere. Human-made **GHG**s include

- 357 sulphur hexafluoride (SF<sub>6</sub>), hydrofluorocarbons (HFCs), chlorofluorocarbons (CFCs)
- 358 and perfluorocarbons (PFCs).
- 359

#### 360 Greenhouse gas accounting (GHG accounting)

- 361 The process of compiling a chart of accounts that reports the inventory of **GHG**
- 362 emissions, detailing the emissions and removals of each GHG, from each source and
- 363 sink process, over a specified period, typically one year. For agricultural systems, that
- are often subject to wide annual variation, the data are often derived by averaging
- 365 over a period of five or ten years.
- 366

#### 367 Greenhouse gas emissions (GHG emissions or emissions)

- Release of a **GHG** into the atmosphere; **GHG emissions** result from a **GHG** source
- 369

#### 370 Greenhouse gas removals (GHG removals)

- 371 Anthropogenic activities that remove carbon dioxide from the atmosphere and
- 372 durably store it in geological, terrestrial or ocean reservoirs, or in products. Carbon
- 373 dioxide removal methods include afforestation, reforestation, biochar, bioenergy
- 374 with carbon dioxide capture and storage (BECCS), soil carbon sequestration,
- 375 enhanced weathering, direct air carbon capture and storage (DACCS), ocean
- 376 alkalinisation and ocean fertilisation. A carbon dioxide removal activity initiates a sink
- 377 process that leads to **GHG removals**.
- 378

#### 379 Indirect emissions

- 380 **GHG emissions** that are a consequence of the organisation's activities, but that arise
- 381 from GHG sources that are not owned or controlled by the organisation. Indirect
- 382 emissions occur upstream and/or downstream of the farm, across the value chain,
- 383 and include emissions from manufacture of inputs such as fertiliser, and from
- 384 processing of products, such as abattoir operations or milling. **Indirect emissions** also
- include emissions outside the value chain that are induced by change in demand for
- 386 (or supply of) products produced or sourced by the organisation.
- 387

#### 388 International Panel on Climate Change (IPCC)

389 An intergovernmental body of the United Nations established in 1988 to provide

- 390 scientific information on anthropogenic climate change, including the impacts and
- 391 risks, and response options. The **IPCC** does not conduct original research but rather
- 392 undertakes periodic, systematic reviews of published literature. **IPCC** reports are
- 393 prepared by thousands of scientists and other experts who volunteer to assess the
- 394 science related to climate change. The **IPCC** is governed by its member states
- through an elected bureau of scientists, who select the authors for each report from
- 396 nominations received from governments and observer organisations.
- 397

#### 398 Leakage

- 399 An increase in emissions that results indirectly from mitigation actions. Leakage can
- 400 include increased **GHG emissions** upstream or downstream in the value chain (such
- 401 as increased emissions associated with the implementation of a **technology**), or
- 402 through market-mediated effects (such as indirect land use change to produce a
- 403 commodity elsewhere, in response to a decline in production in the system being
- 404 assessed).
- 405

#### 406 Life cycle assessment (LCA)

- 407 Compilation and evaluation of the inputs, outputs and the potential environmental408 impacts of a product system throughout its life cycle.
- 409 Life cycle refers to "cradle-to-grave": the consecutive and interlinked stages, from
- 410 raw material acquisition or generation from natural resources to final disposal or
- 411 recycling. In **LCA** of farm products, partial **LCA** is common, often covering cradle to
- 412 farm gate.
- 413

## 414 Meta-analysis

- 415 A statistical analysis of the results of several experiments (in this protocol)
- 416

## 417 Methane

- 418 A potent greenhouse gas with short atmospheric lifetime. Methane is the major
- 419 constituent of natural gas. Livestock production and paddy rice are significant
- 420 methane sources. Methane is produced naturally when organic matter decays under
- 421 anaerobic conditions, such as in wetlands.
- 422
- 423 Mode of action (in this protocol)
- 424 The physical, biological and/or chemical process that result/s in a reduction in **GHG**
- 425 emissions
- 426
- 427

#### 428 Piece of evidence

- 429 Can refer to either a set of experimental results, with multiple pieces of evidence
- 430 able to be presented in one publication, a **meta-analysis** of a **technology**, or an
- 431 existing methodology from an ICROA-accredited **carbon crediting scheme**.
- 432

#### 433 **Prediction interval**

- 434 An estimate of an interval (*i.e.* upper and lower values) in which a prediction for a
- 435 variable generated by populating an equation will fall, for a given probability.
- 436

#### 437 Primary data

- 438 Quantitative measurement of activity from a product life cycle that is required to
- 439 calculate **GHG emissions** or the reduction in emissions associated with a **technology**.
- 440 For example, amount of chemical added to effluent or diet quality factors that can
- 441 influence efficacy of feed additives.
- 442

#### 443 **Product quality**

- 444 The quality of milk that is produced in a dairy in which a **technology** has been
- 445 implemented. Refers to debris and sediment, flavour, colour and odour, bacterial
- 446 count, existence of introduced chemicals, and composition and acidity.
- 447
- 448 **Reporting period** (in this protocol)
- The period for which the carbon footprint of the farming system is being calculated.

#### 451 Scope 1, 2, 3 emissions

- 452 Terminology developed by the Greenhouse Gas Protocol and now adopted broadly
- 453 Scope 1 emissions: direct emissions arising from sources within the control of the 454 reporting organisation.
- 455 Scope 2 emissions: **Indirect emissions** from the generation of purchased or acquired
- 456 electricity, steam, heating or cooling consumed by the reporting organisation.
- 457 For farms, this is predominantly electricity use.
- 458 Scope 3 emissions: Indirect emissions other than scope 2 emissions that occur within
- 459 the value chain as a consequence of the organisation's activities. For farms, scope 3
- 460 emissions are the pre-farm and post-farm emissions, such as from manufacture of
- 461 urea and herbicides, processing in abattoirs, and refrigerated transport of produce.
- 462
- 463
- 464

#### 465 Secondary data

- 466 Data obtained from sources other than direct measurement of the **farming system**.
- 467 Note that **secondary data** were used when **primary data** were not available or it is
- 468 impractical to obtain primary data. Secondary data should also be based on peer-
- 469 reviewed scientific literature, government statistics, or reports published by
- 470 international institutions confirming the estimated value and associated uncertainty
- 471 over multiple studies.
- 472
- 473 **Sink**
- 474 A process, activity or mechanism that removes a **GHG**, an aerosol or a precursor to a
- 475 **GHG** from the atmosphere. A pool (reservoir) is a **sink** for atmospheric carbon if,
- 476 during a given period, more carbon is moving into it than is flowing out.
- 477

#### 478 **Source**

- 479 A process, activity or mechanism that releases a **GHG**, an aerosol or a precursor to a
- 480 **GHG** into the atmosphere. Forests and agricultural lands are reservoirs: they can be
- 481 either a **GHG source** or a **sink**.
- 482
- 483 Technology (in this protocol, also referred to as mitigation technology)
- 484 A product that reduces **GHG emissions** from a dairy farming system. The product can
- 485 reduce **GHG emissions** via biological or chemical processes or can be a device.
- 486 Examples of technologies include, but are not limited to, supplements to reduce
- 487 enteric methane production, additives to reduce GHG emissions from effluent
- 488 systems and coatings to reduce on-farm emissions associated with N fertiliser use. It
- 489 specifically excludes products designed to sequester atmospheric C and the
- 490 introgression of low-**methane** genetics into dairy herds.
- 491

## 492 United Nations Framework Convention on Climate Change (UNFCCC)

- 493 International treaty that aims to achieve the stabilization of greenhouse gas
- 494 concentrations in the atmosphere at a level that would prevent dangerous
- 495 interference with the climate system.
- 496
- 497 **Use** (in this protocol)
- 498 The process that is used to implement the **technology**, such as the rate and the
- 499 frequency with which the **technology** is implemented and/or the period of time
- 500 during the year that the **technology** is implemented.
- 501

## 502 4 ALIGNMENT WITH EXISTING STANDARDS

503 This protocol uses terminology and concepts that are consistent with **GHG** reporting 504 and accounting at the corporate level, including for mandatory reporting, voluntary 505 target-setting, environmental claims and the voluntary carbon market.

506 The protocol is designed to generate values that can be used in conjunction with CF 507 calculators to enable the calculation of a reduced CF for products from dairies that 508 have implemented a mitigation **technology**. Though it is designed to be integrated 509 into CF calculations under the IDF **carbon footprint** standard (IDF, 2022b) it can also 510 be applied in other CF or **LCA** tools. For example, it could be used in product level 511 **carbon footprint**ing using:

- SII carbon lootprinting using.
- 512 Greenhouse Gas Protocol Product Life Cycle Accounting and Reporting
   513 Standard
- ISO 14067 Carbon footprint of products
- ISO 14068 **Carbon neutrality** (applied to a product).
- 516 The IDF standard provides comprehensive guidance on quantifying the **carbon**
- 517 **footprint** of dairy products in accordance with the ISO **LCA** standards 14040 and
- 518 14044. Topics covered include setting the system boundary, choosing the functional
- 519 unit, handling co-products (allocation), data collection, and land use change, all of
- 520 which are complex in the dairy sector, requiring tailored guidance. The IDF standard
- 521 makes provision for the inclusion of mitigation technologies in CF calculations,
- 522 recognising the need for **evidence** of efficacy, and specific guidance on
- 523 quantification. This protocol is designed to address that need.
- 524 The protocol outputs could also be used by companies in preparing **GHG** inventories
- 525 such as for voluntary claims related to **carbon neutrality**, **carbon footprint** reduction
- 526 and net zero GHG targets, or for climate-related financial disclosure under the
- 527 International Sustainability Standards Board (ISSB) standards and national
- 528 equivalents, where consistent with the methodologies specified by these programs.
- 529 The protocol could be used in conjunction with the following standards for corporate
- 530 **GHG** reporting:
- Greenhouse Gas Protocol Corporate Standard
- Greenhouse Gas Protocol Scope 3 Standard
- Greenhouse Gas Protocol Agricultural Guidance
- Greenhouse Gas Protocol Land Sector and Removals Guidance (to be released
   in Q3 or Q4, 2024)
- Science-based targets initiative (SBTi)
- ISSB IFRS S2 Climate-related disclosures

- 538 ISO 14064-1 Organization level quantification and reporting of GHG emissions 539 and removals 540 ISO 14064-2 Project level guantification, monitoring and reporting of GHG 541 emission reductions or removal enhancements 542 ISO 14068 Carbon neutrality (applied to an organisation). 543 544 The protocol generates qualitative information and data that could be used to 545 support a product-based environmental claim such as under the ISO 14021, 546 Environmental labels and declarations for self-declared claims, or ISO 14025 547 Environmental labels and declarations Type III environmental declarations, for 548 environmental product declarations (EPD). 549 The protocol requires information from a full life cycle assessment (LCA) that has 550 been conducted in accordance with ISO 14040 and ISO 14044. 551 The IDF carbon footprint standard provides an overview of many of the standards and guidelines listed above, including their application to quantifying the carbon 552 553 footprint of dairy products. 554 **TECHNOLOGY AND IMPLEMENTATION CONTEXT** 555 5 556 How and where the **technology** will be implemented needs to be clearly stated to 557 ensure information provided in the latter sections of the protocol is relevant to the 558 technology and the specific implementation of the technology being assessed by the 559 protocol. 560 561 5.1 SCOPE The scope of the emissions reduction assessment shall be defined by unambiguously 562 563 describing the following: 564 The technology (see Error! Reference source not found.) 565 i. The intended **use** of the **technology** (see 5.1.2) 566 ii. 567 The system(s) in which the **technology** will be implemented (see 5.1.3) iii. 568 iv. The period over which the **technology** will be implemented (see 5.1.4) 569
  - 570 5.1.1 TECHNOLOGY
  - 571 A report prepared in accordance with this protocol shall unambiguously identify the
  - 572 technology. The identification of the technology shall include, where applicable, the

- product name, trade name, manufacturer and/or active ingredient/s and/or themode of action.
- 575
- 576 5.1.2 USE
- 577 The **use** of the **technology** shall be described. This description shall contain the
- 578 following information, where applicable: targeted **GHG** source, concentration or
- 579 dosage, method of **use**, frequency of **use** and proportion of the **reporting period**,
- 580 during which the **technology** will be used section 5.1.4. Where applicable, any
- requirements set out by the manufacturer with regards to the **use** of the **technology**
- 582 (section Error! Reference source not found.) to achieve emissions reductions shall
- 583 be attached to the report and shall be included in the description of **use**.
- 584
- 585 5.1.3 SYSTEM
- 586 The system in which the **technology** was implemented shall be described. This 587 description shall contain, where applicable, the following information:
- 588 i. Location, including climate and soil type
- 589 ii. Breed, including weight
- 590 iii. Whether the herd is self-replacing
- 591 iv. Productivity (e.g. fat and protein corrected milk production)
- 592 v. The proportion of the year the animals are housed
- 593 vi. The type of manure management system (required for manure594 management technologies only)
- 595vii.The composition of the diet by season or month (*i.e.* the proportion of the596dry matter intake that is pasture, grain, silage and/or supplements)
- 597 viii. The quality of the diet
- 598
- 599 Where any information changes during the year due to seasonal conditions or the
- 600 availability of inputs (*e.g.* a change in the quality of supplied feed), points (i) through 601 (viii) shall be documented on a seasonal basis and/or for each change.
- 602 (Viii
- 603 5.1.4 IMPLEMENTATION PERIOD
- 604 The proportion of the **reporting period** (*i.e.* period for which the CF is being
- 605 calculated) during which the **technology** was implemented and for which a **GHG**
- 606 **emissions reduction** is being estimated shall be documented.

#### 607 **6** SAFETY

- 608 The acceptance of mitigation technologies by policy makers, industry and consumers
- 609 requires demonstrating that the **technology** is safe to use, with respect to impacts on
- 610 human health, animal health and the environment. This section provides minimum
- 611 criteria that must be met by technologies to provide confidence that the
- 612 *implementation of the technology will have minimal adverse impacts. The*
- assessment of environmental impacts under section 6.2 has been adapted from
- 614 global frameworks on life cycle assessment including ISO-14044.
- 615

#### 616 6.1 REGULATORY APPROVALS

- 617 Written evidence of regulatory approval for the technology (Error! Reference source
- 618 **not found.**) used as described (5.1.2) in the system (5.1.3) shall be attached to the
- 619 report. This includes approvals by the appropriate organisations for commercial use
- 620 of the **technology** as well as occupational health and safety regulations for the
- 621 technology.
- 622

#### 623 6.2 ENVIRONMENTAL IMPACTS

- 624 Results from a LCA of the manufacture and use of the technology as described
- 625 (5.1.2) in a system that shares the characteristics documented in (5.1.3) shall be
- 626 presented. The LCA shall be compliant with ISO 14044 (International Organization for
- 627 Standardization, 2019) including an independent review. The LCA shall provide the
- 628 impacts as absolute values (characterisation) and relative to the current production
- 629 system without the proposed **technology**.
- 630

631 The LCA shall include a comprehensive set of environmental indicators including but 632 not limited to **GHG** emissions. Indicator selection shall be relevant to the product 633 system. For example, if the **technology** affects productivity, then water scarcity 634 (Boulay et al., 2018) and land use impacts on soil quality (Bos et al., 2020; Brandão et 635 al., 2011) would be necessary. If the technology emits ozone depleting substances, 636 then ozone depletion (World Meteorological Organization (WMO), 2014) would be 637 required. Any **technology** based on a chemical additive should include human toxicity (cancer and non-cancer) (Fantke et al., 2021). Recommended indicators lists 638 639 for different technologies is included in APPENDIX E. 640

- 641 As different indicators are not of equal importance it not practical to set thresholds of
- 642 impact increase in non-greenhouse gas indicators which can be tolerated in a **GHG**
- 643 **abatement technology**. What **LCA** can provide is transparent information on relative
- 644 impact increases or decreases to ensure unintended impacts can be assessed by
- 645 users of that **technology**. As such the risk of adverse environmental consequences
- 646 shall be discussed as part of the protocol.
- 647

## 648 6.3 IMPACTS TO THE FARMING SYSTEM

- 649 Given that minimum standards of health and safety for both animals and humans 650 have been addressed in Section 6.1 and the decisions regarding appropriate trade-
- 651 offs vary on **context**, there is no threshold associated with farm impacts. What is
- 652 required is a disclosure of the known information citing original peer-reviewed
- 653 research and identification of knowledge gaps regarding impacts on production,
- 654 **product quality**, and animal health and welfare. A list of all reviewed, original
- 655 literature (i.e. not reviews) on these topics and the databases and search terms used
- 656 to find these articles should be included.
- 657
- 658 This section shall review the consequences of using the **technology** on animal
- 659 welfare and impact on product quality or production. If any of this information is not
- available, the information gap needs to be acknowledged. Where the
- 661 implementation of a **technology** results in a decrease in production then the
- 662 magnitude of the reduction shall be reported, due to the risk of **leakage** associated
- 663 with a decrease. Changes in production also need to be captured in the CF
- 664 calculation to reflect resulting changes in emissions per unit product.

# 665 7 DEMONSTRATING EFFICACY OF A TECHNOLOGY

- 666 Confidence that the implementation of the **technology** will result in a consistent and 667 reliable reduction in **GHG emissions** is important for the acceptance of claimed **GHG** 668 **emissions reductions** associated with implementation of that **technology**. This is 669 achieved by providing **evidence** either as results from multiple scientific experiments
- 670 that have demonstrated effectiveness of the **technology** or as a methodology
- 671 approved under an existing accredited **Carbon crediting scheme**. Both forms of
- 672 *evidence* have minimum requirements that must be met to ensure that the *evidence*
- 673 provided is robust, which are described in this section.
- 674
- 675 The more pieces of **evidence** available the greater the confidence in the assessment
- of a **technology** therefore, it is imperative that as many pieces of **evidence** as possible
- are provided to support assessment of the efficacy of the **technology**. However, the
- 678 results of experiments are not always published or made available in the public
- 679 domain. Therefore, the authors acknowledge that this protocol is limited by the

680	reality that generally only experiments showing a statistically significant positive or					
681	adverse effect of a treatment are published in scientific journals, and some					
682	experimental results are confidential, held by commercial companies.					
683						
684	7.1 DEMONSTRATED REPEATABLE REDUCTIONS					
685	A consistent reduction in GHG emissions associated with the implementation of the					
686	technology shall be demonstrated using one of the following:					
687						
688	i. a <b>meta-analysis</b> demonstrating a statistically significant ( $P \le 0.05$ ) <b>GHG</b>					
689	emissions reduction associated with the use of the technology, that meets					
690	the requirements for experimental settings and scientific publications					
691	specified in section 7.2. A copy of the publication shall be attached to the					
692	report if it is not open-access, and if it is open access, the digital object					
693	identifier (DOI) shall be provided.					
694						
695	ii. a minimum of three (3) experiments that:					
696						
697	a. demonstrate a statistically significant ( $P \le 0.05$ ) reduction in <b>GHG</b>					
698	emissions, and					
699						
700	<ul> <li>that meet the requirements for experimental settings and scientific</li> </ul>					
701	publications specified in section 7.2					
702	Copies of the scientific publication(s) containing results of these experiments shall					
703	be attached to the report if they are not open access and if they are open access,					
704	the DOI shall be provided.					
705						
706	iii. An existing methodology from a <b>Carbon crediting scheme</b> that meets the					
707	minimum requirements set out in section 7.2.2.					
708						
709	A statement shall be made for each <b>piece of evidence</b> outlining how the					
710	requirements in section 8.2 are met.					
711						

712 7.2 REQUIREMENTS FOR PIECES OF EVIDENCE 713 7.2.1 EXPERIMENTAL SETTINGS AND SCIENTIFIC PUBLICATIONS 714 Where a **meta-analysis** (8.1 option (i)) or set of experimental results (8.1 option (ii)) 715 are used as evidence, the protocol user shall justify that the experimental results are 716 applicable to a commercial dairy situation. 717 718 Experimental results that have been published in a journal that was classified as a 719 level 1 or 2 journal on the Norwegian Register For Scientific Journals, Series and 720 Publishers at the time of publication shall be used as evidence. Documentation 721 showing that the journal was a level 1 or 2 journal at the time of publication shall be 722 attached to the report. 723 724 Experimental results from experiments that do not include a control shall not be 725 used. 726 727 7.2.2 EXISTING METHODOLOGIES 728 Calculations from an approved **Carbon crediting scheme** methodology may be used 729 to calculate the claimable emissions reduction (see 7.2.2). if 730 that methodology is from a standard endorsed by the International Carbon i. 731 Reduction and Offsetting Accreditation (ICROA) program and 732 ii. it provides a conservative estimate of GHG emissions reduction by using 733 statistical uncertainty to adjust the GHG emissions reduction, and the estimate calculated using the methodology is as conservative as that 734 735 calculated using this protocol, or data are provided that allow adjustment 736 such that the estimate of emissions reduction can be adjusted to be as 737 conservative as that calculated using this protocol. DATA QUALITY 738 8

#### 739 The calculation of a claimable **GHG emissions reduction** requires data for a dairy 740 production system. Data can be **primary data** (i.e. data that are specific to the system 741 being assessed) or **secondary data** (i.e. data obtained from another system and 742 applied to the system being assessed). Secondary data are lower quality data 743 however in some instances **secondary data** may be the only data available to 744 calculate the claimable **GHG emissions reduction**. Using lower quality data to 745 calculate a **GHG emissions reduction** reduces the accuracy of the calculation and has 746 the potential to reduce the acceptance by the target audience of **GHG emissions** 747 reduction claims by the dairy sector. Adjusting the claimable emissions reduction for 748 the quality of data used demonstrates to the target audience that the dairy sector

749 acknowledges that using lower quality data impacts the confidence in the efficacy of

- 750 a **technology**. A **data quality** adjustment has a number of other benefits including
- 751 *incentivising the use of high-quality data to maximise the claimable emissions*
- 752 *reduction* and ensuring the protocol is flexible enough to be applied to yet-to-be-
- 753 developed technologies that may require data that is inherently difficult to obtain and
- 754 will therefore by necessity be low-quality.
- 755
- 756 The approach to **data quality** used in the protocol is adapted from the data pedigree
- 757 matrix approach used by the global life cycle assessment community (Ciroth et al.,
- 758 2016). A factor with which to adjust a *claimable emissions reduction* is calculated
  759 based on the auality of data used to calculate the *claimable emissions reduction*. The
- based on the quality of data used to calculate the claimable emissions reduction. The
   integration of this factor adds statistical uncertainty to the equations used to
- 761 calculate the **claimable emissions reduction** when data used is not of the highest
- 762 possible quality. This increase in statistical uncertainty increases the **prediction**
- 763 *interval* resulting in a greater adjustment of the estimated *emissions reduction*.
- 764
- The quality of the data used to calculate an **emissions reduction** is determined by
  four categories as described below. The levels for each category are presented in
- APPENDIX and the **data quality** adjustment is described in sections 9.2.1 and 9.2.2.
- 768
- 769 i. DATA SOURCE
- This criterion evaluates the quality of the data based on the method of obtaining thedata. It assesses whether the data are obtained via direct measurements, a
- 772 calculation or a qualified estimate.
- 773

## 774 ii. SYSTEM REPRESENTATIVENESS

775 This criterion assesses the extent to which the on-farm data used to estimate the 776 emissions reduction represent the system being assessed. Data that are obtained 777 from the system being assessed are considered higher quality than data that are 778 obtained from other system or regional averages. Milk output per cow is used to 779 determine representativeness because it reflects the system with respect to feedbase and livestock movement (i.e. barn systems with high quality feed and 780 781 limited movement are likely to have higher productivity than a pasture-based system 782 with lower quality feed where cows walk further, so use more energy). 783

#### 784 iii. TEMPORAL SUITABILITY

785 This criterion evaluates the extent to which data are up-to-date and applicable and,

- therefore, relevant to the **reporting period** covering relevant events and trends.
- 787

#### 788 iv. GEOGRAPHICAL SUITABILITY

Geographical suitability examines whether the data's geographic scope match the
area of interest for the system being assessed. This ensures that the data are
analiashie to the energies of encourt

applicable to the specific location or region of concern.

792

#### 793 8.1 DATA QUALITY ASSESSMENT

The data source and relevant **data quality** adjustment factor for each of the variables

used to calculate **emissions reductions** shall be documented in a table. **Data quality** 

- adjustment factors for each **data quality** category are given in APPENDIX B.
- 797

# 798 9 CALCULATION OF CLAIMABLE EMISSIONS REDUCTION

Providing confidence that the claimable emissions reduction associated with the
implementation of a technology is robustly validated is achieved using the following
four strategies:

- 802
- The use of robust scientific results from the evidence that is most relevant to
   the system under study as the basis for GHG emissions reduction calculations.
   The scientific evidence must meet requirements (7.2) and be relevant to the
   technology and context as described in section 5.
- 807

808 2. The calculation of a conservative estimate of the GHG emissions reduction, 809 where the magnitude of the emissions reduction is adjusted according to the 810 uncertainty of the experimental results to determine the claimable emissions 811 *reduction*. such that the emission reduction is reduced in proportion to 812 uncertainty. This provides an incentive to **technology** developers and 813 researchers to generate and publish high quality experimental results. 814 Adjusting GHG emissions reductions based on statistical uncertainty is a 815 relatively common approach to ensure the integrity of **GHG emissions** 816 reduction claims and is a basic principle of the Verra Carbon Standard 817 (Standard, 2022) and is also included in government carbon credit 818 methodologies (Australian Government, 2021). In this protocol, the claimable 819 emissions reduction is the value for which there is 60% chance of exceedance. 820

The adjustment of the calculated GHG emissions reduction for the quality of
 data that is used to calculate the emissions reduction. Adjusting the calculated
 GHG emissions reduction for data quality incentivises the collection and use of
 the highest possible quality data from the system being assessed and provides
 flexibility in the data that can be used.

826				
827	4.	The estimated <b>GHG emissions reduction</b> is re-calculated on an annual basis or		
828		whenever new information that improves an estimate of <b>GHG emissions</b>		
829		reduction becomes available.		
830				
831	9.1	EVIDENCE USED FOR CALCULATIONS		
832	The <b>e</b>	vidence used for calculations shall be one of either:		
833				
834	i.	A meta-analysis that meets requirements set out in section 7.1.i.		
835				
836	ii.	The provision of experimental results that demonstrate a significantly		
837		significant ( $P \le 0.05$ ) reduction in <b>GHG emissions</b> when compared to a control		
838		for the <b>technology (Error! Reference source not found.)</b> when used (5.1.2) in		
839		a system as described (5.1.3). These experiments must meet the requirements		
840		as set out in section 7.1.ii.		
841				
842	iii.	Where more than one set of experimental results have equal relevance to the		
843		system being assessed, as described above in 9.1.ii, and it is statistically		
844		appropriate to average the experimental results as determined by a qualified		
845		statistician, then the results shall be averaged and the average used to		
846		calculate an emissions reduction.		
847				
848	IV.	An existing methodology from a <b>GHG abatement</b> scheme that meets the		
849		minimum requirements set out in section 7.2.2.		
850	_			
851	Wher	e multiple pieces of <b>evidence</b> are available, the <b>evidence</b> that is the most		
852	releva	ant to the system being assessed shall be used.		
853				
854	If unr	estricted online access is available, the DOI or other permanent digital identifier		
855	for th	e relevant document shall be provided. Otherwise, a copy of the relevant		
856	docur	nent shall be provided. Where multiple sets of experimental results are		
857	averaged, a report from a statistician detailing the method used to derive the			
858	avera	ge shall be provided.		
859				
860	Evide	nce shall only be used in calculations of claimable emissions reduction where		
861	tnese	criteria are met:		

862

868

873

- i. The implementation of the technology in the evidence used to calculate
   claimable emissions reduction shall be consistent with the implementation
   context described in section 5.1.2. The protocol shall not be used to assess the
   implementation of a technology where these uses are inconsistent. This
   includes, where relevant, the concentration declared under section 5.1.2.
- 869 ii. Where the evidence used to calculate an emissions reduction is a regression
  870 equation, the values of data used to populate the equation to estimate the
  871 emissions reduction shall not exceed the range of values for the relevant
  872 variable used to develop the equation.
- Where the GHG emissions reduction is dependent on the environmental
  conditions that change over time (*e.g.* on a seasonal basis), then a GHG
  emissions reduction shall only be calculated where the environmental
  conditions declared in section 5.1.2 are the same as those under which the
  evidence used to support calculation under section 9.1 were obtained.
- 880 Claims for a GHG emissions reduction should not exceed the maximum iv. 881 duration of the **experiment** in the **evidence** used as a basis for **GHG emissions** 882 reductions calculations. It is common for biological systems and processes 883 (e.g. using a chemical to change the activity of one group of microbes in an 884 environment with a diversity of microbe groups) to adapt to changes. It is also 885 likely that indications of adaptation will be observable over a period of 886 months, as opposed to years. If the period of claim exceeds the maximum 887 duration then the longer period of claim shall be justified. Justifying a longer 888 period shall rely on published scientific literature and consider the mode of 889 action for the technology, the vulnerability of the technology to adaptation 890 and the absence of adaptation in **experiments** of a duration in which 891 adaptation could be expected to occur.
- 892
- 893 If the evidence comes from experiments conducted in a different system, or in ٧. 894 the same system under different diet compositions (5.1.3), the user shall 895 justify that the evidence used in calculation of claimable emissions reduction 896 is applicable to the system under study. Justification is qualitative and shall 897 address the following components (where relevant to the declared 898 technology); animal mass, milk production, diet type, diet quality, climate, soil 899 type (as described using the surface soil texture) and describe the proportion 900 of year that that changes in any of these components occurs.
- 901

- 902 If used as evidence, an external GHG abatement scheme methodology shall be
- strictly limited to the **use**/system defined within the methodology.
- 904
- 905 A statement outlining how these criteria are met shall be provided.
- 906

907 9.1.1 UNCERTAINTY ADJUSTMENT

908 Where a methodology from an existing **Carbon crediting scheme** is used, and the

909 emissions reduction calculated by the methodology is less conservative, the

910 calculations contained in the methodology shall be adjusted so the calculated

911 emissions reduction is as conservative as that calculated using this protocol.

912

913 9.2 EQUATIONS

914 Statistical knowledge and/or an understanding of **prediction interval**s may be needed 915 to undertake the calculations specified in this protocol, so the input of a statistician 916 may be necessary to implement the protocol. The equations used to calculate the 917 factor required to calculate the *claimable emissions reduction* are dependent on the 918 statistical analysis used in the evidence (section 9.1). Where the calculation of GHG 919 emissions reduction uses the difference in absolute emissions between a control and 920 treatment assessed by a parametric statistical method, a factor with which to 921 calculate a *claimable emissions reduction* shall be calculated using equations in 922 section 9.2.1. Where the **GHG emissions reduction** is calculated using an equation 923 developed using a parametric statistical method, a factor with which to calculate a 924 *claimable emissions reduction* shall be calculated using equations in section 9.2.2. 925 Guidance when a non-parametric statistical method has been used is provided in 926 9.2.3. It is difficult to provide specific equations for calculating the claimable 927 emissions reduction when an equation is used due to the different statistical analyses 928 that can be used to generate an equation for calculating **emissions reductions**. As 929 such, the equations presented here are high level and the application of the equations 930 will likely require the input of a statistician or biometrician. Experimental data 931 analysed using non-parametric analysis methods can also be used and requires a 932 statistician to ensure the calculations used are appropriate. 933 The protocol generates  $GHG_{adj_{t(0.6)}}$ , an adjustment factor expressed as a decimal 934 935 that is used to adjust an estimate of GHG emissions from the relevant GHG source

without the **technology** implemented as calculated by an existing CF methodology.

938 For all equations presented in this sub-section:

- 939 Where a mixed model approach was used for statistical analysis in the
- 940 evidence and random effects in the model were statistically significant then941 the predicted values shall be used.
- 942 Where a co-variate was included in the statistical analysis in the **evidence** and
- 943 found to be significant then values adjusted for co-variance shall be used.
- 944

945 9.2.1 DIFFERENCE BETWEEN THE MEANS OF A CONTROL AND A TREATMENT

- 946 Where a statistically significant **GHG emissions reduction** is demonstrated between
- 947 the control and a treatment, the factor,  $GHG_{adj_{t(0.6)}}$ , that is used to adjust the **GHG**
- 948 **emissions** using a CF method may be calculated using Equation 1.  $GHG_{adj_{t(0.6)}}$  may
- alternatively be calculated via another method (*e.g.* Fieller's theorem) when the
- 950 calculations are done by a qualified statistician. Those calculations shall include an
- adjustment for the specified probability of exceedance and be appropriately adjusted
- 952 for DQ , and be attached in a report provided by the statistician.
- 953
- 954 Equation 1
- 955  $GHG_{adj_{t(0.6)}} = \frac{\bar{x}_c x_a}{\bar{x}_c}$
- 956

957 where  $GHG_{adj_{t(0.6)}}$  is the value used to adjust the emissions as calculated without the 958 **technology**,  $\bar{x}_c$  is the sample mean of the control from the **experiment** and  $x_a$  is the 959 difference between the control and treatment groups adjusted for statistical 960 uncertainty and **data quality** using Equation 2.

- 961
- 962 Equation 2
- 963  $x_a = \bar{x}_d + t_{(0.6,df)} \Delta_{diff}$
- 964

965 where  $\bar{x}_d$  is the difference between the estimated means of the control and 966 treatment groups as calculated using Equation 3,  $t_{(0.6)}$  is the critical lower one-tail 967 value from the *t*-distribution for the relevant df, as calculated by Equation 4, based 968 on a 60% confidence level and  $\Delta_{diff}$  is the adjusted uncertainty associated with the 969 measurement of the control and treatment samples or populations as calculated 970 using Equation 4.

- 971
- 972 Equation 3

973 
$$\bar{x}_d = \bar{x}_c - \bar{x}_t$$

975 where  $\bar{x}$  and  $\bar{x}_t$  are the means of the control and treatment groups, respectively, as 976 taken from the **evidence** declared in section 9.1.

977

978 Equation 4

979 
$$df = \frac{(SE_{\bar{x}_c}^2 + SE_{\bar{x}_t}^2)^2}{(\frac{SE_{\bar{x}_c}^4}{df_{\bar{x}_c}} + \frac{SE_{\bar{x}_t}^4}{df_{\bar{x}_t}})}$$

980

981 where  $SE_{\bar{x}_c}$  and  $SE_{\bar{x}_t}$  are the standard errors of  $\bar{x}_c$  and  $\bar{x}_t$ , respectively, from the 982 **evidence** used to support the calculations in 9.1 and  $df_{\bar{x}_c}$  and  $df_{\bar{x}_t}$  are the degrees of 983 freedom for the control and treatment groups.

984

985 Equation 5

986 
$$\Delta_{diff} = \sqrt{\overline{DQ}} \cdot (SE_{\bar{x}_c}^2 + SE_{\bar{x}_t}^2)$$

987

988 where  $\overline{DQ}$  is the adjustment for **data quality** as calculated using Equation 6, and 989  $SE_{\bar{x}_c}$  and  $SE_{\bar{x}_t}$  are as previously described.

990

991 Equation 6

992 
$$\overline{DQ} = \frac{\sum_{i=1}^{n} D_i + S_i + T_i + G_i}{n \times 4}$$

993

994 where  $D_i$ ,  $S_i$ ,  $T_i$  and  $G_i$  are the **data quality** scores for data source, system likeness, 995 temporality and geography, respectively, taken from APPENDIX, for the data 996 representing the  $i^{\text{th}}$  variable used to calculate the emissions from the **GHG** source 997 nominated in section 5.1.2 using a CF calculator, and n is the number of variables 998 that are used to calculate the **GHG emissions** from the nominated **GHG** source. 999

1000 9.2.2 REGRESSION APPROACH

1001 A regression approach refers to the prediction of an **emissions reduction** using an equation that is developed using statistical analysis. When a regression approach is 1002 1003 used to estimate the emissions reduction associated with the implementation of a technology, the claimable emissions reduction shall be calculated using the 1004 1005 appropriate equation below. Equations rely on the calculation of a prediction 1006 interval, and the method to calculate the prediction interval is dependent on 1007 characteristics of the equation (e.g. the numbers of dependent variables in the 1008 equation) that is used as a basis for calculations, and it is the responsibility of the

biometrician supporting the protocol user to determine the most appropriateapproach to calculating the **prediction interval**.

1011

1012 Case 1 - Regression equation for a change in emissions relative to a control expressed1013 as a negative decimal or percentage.

1014

1015 Equation 7

- 1016  $GHG_{adj_{t(0.6)}} = \frac{\hat{y}_{adj}}{r\%} + 1$
- 1017

Where the dependent variable is a negative value expressing the change in emissions 1018 1019 relative to a control (e.g. a 60% reduction in GHG emissions is represented by the value of -60), then  ${\it GHG}_{adj_{t(0.6)}}$  shall be calculated using Equation 7. Where  $\hat{y}_{adj}$  is 1020 the relevant **prediction interval** calculated using the critical value from the *t*-1021 1022 distribution for the relevant df and a 60% confidence level, adjusted for **data quality**, and  $r_{\%}$  is 100 convert  $GHG_{adj_{t(0.6)}}$  to a decimal format where  $\hat{y}$  is a percentage or 1 1023 in all other instances. Where Equation 7 is used to calculate  $GHG_{adj_{t(0.6)}}$  the 1024 **prediction interval** that results in a value for  $\hat{y}_{adj}$  being greater than the value 1025 for  $\hat{y}$  shall be used. 1026 1027

1028 Case 2 - Regression equation for a change in emissions relative to a control as a
1029 positive decimal or percentage.

- 1030
- 1031 Equation 8

1032  $GHG_{adj_{t(0.6)}} = 1 - \frac{\hat{y}_{adj}}{r\%}$ 

1033

1034 Where the dependent variable is a positive value expressing the change in emissions 1035 relative to a control (e.g. a 60% reduction in GHG emissions is represented by the value of 60), then  $GHG_{adj_{t(0,6)}}$  shall be calculated using Equation 8 where  $\hat{y}_{adj}$  is the 1036 1037 relevant **prediction interval** calculated using the critical value from the *t*-distribution for the relevant df and a 60% confidence level adjusted for **data quality** and  $r_{\%}$  is 1038 100 convert  $GHG_{adj_{t(0.6)}}$  to a decimal format where  $\hat{y}$  is a percentage or 1 in all other 1039 instances. Where Equation 8 is used to calculate  $GHG_{adj_{t(0.6)}}$  the **prediction interval** 1040 1041 that results in a value for  $\hat{y}_{adi}$  being less than the value for  $\hat{y}$  shall be used. 1042

1043 Case 3 - Regression equation for **GHG** emitted relative to a control expressed as a
1044 decimal or a percentage.

- 1045
- 1046 Equation 9

$$1047 \quad GHG_{adj_{t(0.6)}} = \frac{\hat{y}_{adj}}{r\%}$$

1048

1049 Where the dependent variable expresses the GHG emitted relative to a control (*i.e.* a 60% reduction in **GHG emissions** is represented by the value of 40) then  $GHG_{adj_{t(0,6)}}$ 1050 shall be calculated using Equation 9 where  $\hat{y}_{adj}$  is the relevant **prediction interval** 1051 1052 calculated using the critical value from the t-distribution for the relevant df and a 60% confidence level adjusted for **data quality** and  $r_{\%}$  is 100 convert  $GHG_{adj_{t(0,6)}}$  to a 1053 decimal format where  $\hat{y}$  is a percentage or 1 in all other instances. For Equation 9, 1054 the **prediction interval** that results in a value for  $\hat{y}_{adj}$  being greater than the value 1055 1056 for  $\hat{y}$  shall be used. 1057

- 1058 Equation 10
- $1059 \quad DQ_i = \frac{D_i + S_i + T_i + G_i}{4}$

1060

1061 where  $D_i$ ,  $S_i$ ,  $T_i$  and  $G_i$  are the **data quality** scores for data source, system likeness, 1062 temporality and geography, respectively, taken from APPENDIX B for the  $i^{\text{th}}$  variable 1063 used to populate the regression equation.

1064

1065 Where the approach allows for the **data quality** adjustment to be made for each 1066 variable then the **data quality** for each variable shall be calculated using Equation 10 1067 and applied to each variable within the square root function of  $\Delta_t$ , otherwise the 1068 average **data quality** (Equation 6) shall be calculated and applied within the square 1069 root function of  $\Delta_t$  (as demonstrated in APPENDIX D).

1070

1071 9.2.3 NON-PARAMETRIC STATISTICAL ASSESSMENT

Where the reduction in **GHG emissions** associated with the implementation of a **technology** was assessed using non-parametric statistical methods, the use of the results from that analysis as a basis for calculating a claimable **GHG emissions reduction** is permitted. The calculation of a claimable **GHG emissions reduction** shall be done by a qualified statistician and shall use an approach that adjusts a mean effect by the specified probability of exceedance, appropriately adjusted for DQ.

#### 1079 9.3 FREQUENCY OF CALCULATION

1080 The calculation shall be reviewed annually, when data used to calculate a **claimable** 

1081 emissions reduction changes or when experimental results that improve the

1082 robustness of the adjustment factor are made available via publication in a relevant

- 1083 scientific journal (see section 7.2).
- 1084

# 1085 **10 MULTIPLE TECHNOLOGIES**

Where multiple technologies are implemented within the same system and each 1086 1087 technology reduces a different emissions source, then the protocol shall be applied 1088 to each technology individually and each GHG emissions source adjusted using the 1089 relevant protocol output. Where multiple technologies are implemented within the 1090 same system and the technologies reduce the same emissions source, then sections 1091 5 to 7 shall be completed for each technology. Sections 8 and 9 shall be completed 1092 for the technologies combined (*i.e.* the **evidence** used to calculate **GHG emissions** reduction shall be from experiments that implemented technologies. 1093 1094 simultaneously).

1095

## 1096 **11 REPORT**

1097 To ensure transparency, a report shall be generated that provides the required 1098 information as outlined in sections 5 to 9, with the headings of each section corresponding to those used in this document. Example reports are presented in 1099 1100 APPENDIX C and APPENDIX D. The information provided shall be suited for the intended use of the protocol outputs. For example, the worked examples presented 1101 1102 are for a single dairy, with the system description providing detail for that dairy, 1103 however, where the protocol is used by a dairy processor, the system description 1104 would be a description of all the dairies that supply the dairy processor. Similarly, 1105 where the protocol is integrated into a CF calculator used by a dairy processor, a value for  $GHG_{adj_{t(0,6)}}$  would not be presented as is done for the worked examples, 1106 because the values for  $\hat{y}$  and  $\overline{DQ}$  will be different for each supplier that is analysed. 1107 In such a case, the report would provide the equation used to calculate  $GHG_{adj_{t(0,6)}}$ 1108 and include any values (e.g.  $t_{(0.6,df)}$ ) that are calculated using the **evidence** provided 1109 1110 in 9.1.

- 1111
- 1112 For transparency, where the  $GHG_{adj_{t(0.6)}}$  is incorporated in a CF
- 1113 calculator/calculation, the developer of the CF calculator shall make the report
- 1114 available to the reviewer of the CF calculator/calculation.
- 1115

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- 1282

## 1283 APPENDIX A

1284 [Final flow chart to be included here.]

#### 1285

#### 1286 APPENDIX B

#### 1287 DATA QUALITY MATRIX

Data quality category	Description	DQ
	Directly measured	1
	Calculated data based on measurements	1.5
	Calculated data based partly on assumptions	2.5
Data source	Qualified estimation (by experts)	5
	Non-qualified estimation	Not acceptable
	Data are from the system being assessed by the protocol	1
	Data are from a system or systems with a key variable⁺ +/- 5% of the system being assessed by the protocol	1.5
System likeness	Data are from a system or systems with a key variable + +/- 10% of the system being assessed by the protocol	2
-,	Data are from a system or systems with a key variable <sup>+</sup> +/- 20% of the system being assessed by the protocol	4
	Data are from a system with unknown key parameters <sup>+</sup>	
	Less than 1 years old	
	1 - < 3 years old	25
	3 - <6 years old	4
Temporal		Not
	More than 6 years old	acceptable
	Data from the exact system being assessed by the protocol (location specific)	1
	Data from the same region as the system being assessed	2
Geographical	Data from a region with similar production conditions	5
Geographical	Data from a region with somewhat similar production conditions	10
	Data from unknown region or region with distinctly different production conditions	Not acceptable

1288 + a key variable is a defining variable for a dairy system (e.g. FPCM output (kg/hd/day, cow number)

1289	APPENDIX C				
1290	WORKED EXAMPLE FOR CALCIUM CYANIMIDE (CaCN <sub>2</sub> )				
1291					
1292	Preface				
1293					
1294	This worked example demonstrates the application of the protocol to the use of				
1295	calcium cyanimide to reduce CH <sub>4</sub> emissions from an anaerobic effluent pond with the				
1296	data from a relevant publication used to calculate $GHG_{adj_{t(0.6)}}$ . Based on current				
1297 1298	available <b>evidence</b> , <b>GHG emissions reduction</b> s associated with the use of calcium cvanimide could not be claimed under the protocol. The reasons for this are:				
1299 1300	1. The use of $CaCN_2$ does not have regulatory approval in the jurisdiction in which the dairy system being assessed is located				
1301	2. There is no full life cycle assessment of $CaCN_2$ production and use available				
1302	3. Only one <b>piece of evidence</b> to support the efficacy of CaCN <sub>2</sub> in reducing CH <sub>4</sub>				
1303	emissions from effluent ponds is available (a minimum of 3 are required)				
1304	4. The <b>experiment</b> was done in a laboratory setting and there is no <b>evidence</b>				
1305	that the results from this setting were transferable to an effluent pond in a				
1306	commercial dairy.				
1307	5. There was no available <b>evidence</b> to demonstrate that the efficacy of the $CaCN_2$				
1308	in reducing <b>methane</b> emissions was consistent for longer time periods,				
1309	purticularly across seasonal conditions.				
1310	This words down all the effect of a she down starts to have the new increased and and				
1311	in the protocol are applied to the <b>ovidence</b> that demonstrates the efficacy of a				
1312	technology but also how the equations in the protocol are applied to calculate				
1314	GHG <sub>ad</sub> :				
1215	$uu_{t(0.6)}$				
1216	This <b>technology</b> also has the potential to change to $N_{\rm e}O$ emissions from manure and				
1310	claimed improvements in fertiliser quality of applied manure would have further				
1318	impacts on mitigation potential. There are no available data to incorporate these				
1319	factors into the example and, therefore, they are not addressed further here. A full				
1320	<b>LCA</b> of the product is likely to generate different climate change benefits than those				
1321	calculated by the protocol due to the inclusion of the $N_2O$ emissions.				

1322 1323 **TECHNOLOGY AND IMPLEMENTATION CONTEXT** 1 1324 1.1 SCOPE 1325 1326 1.1.1 TECHNOLOGY 1327 The product is a chemical named calcium cyanamide (CaCN<sub>2</sub>). Its CAS number is 156-1328 62-7. 1329 1330 1.1.2 USE 1331 Calcium cyanimide was included in slurry to reduce CH<sub>4</sub> emissions from the effluent 1332 system and will be used throughout the entire year. As per recommendations from 1333 the manufacturer of the proprietary product Eminex, 1 kg of CaCN<sub>2</sub> was added for 1334 each m<sup>3</sup> of slurry in the pit, with applications repeated every 6 weeks. 1335 Recommendations for use of the proprietary product Eminex can be found online at https://www.alzchem.com/en/brands/eminex/#accordion-3472-item-3. 1336 1337 1338 1.1.3 SYSTEM This application of the protocol was specific to the use of CaCN<sub>2</sub> in a commercial dairy 1339 1340 that was also used for research and educational purposes. The dairy was located in 1341 northern Victoria, Australia and receives an average annual rainfall of 556 mm, most 1342 of which falls in winter. The region has a Mediterranean climate with hot, dry summers and cool winters. The soils were variable and include loams and clay. The 1343 1344 dairy was predominantly a pasture-based system with some supplementation 9 1345 months a year and a total mixed ration supplied for 3 months (summer). It was a self-1346 replacing system and over the year there was an average of 285 Holstein-Friesian 1347 dairy cattle. 1348 1349 The dairy produced an estimated 109 tonnes of milk solids in 2021 with cows 1350 averaging 6,669 litres of FPCM per lactation. The diet of milkers was comprised of 1351 pasture and pellets year-round and high protein hay in spring and summer and silage 1352 in autumn and winter. Non-milkers were fed pasture and cereal hay in spring with 1353 pellets added in autumn and winter. Slurry was collected from the milking parlour 1354 and the barn where animals are housed in the summer, and stored in an anaerobic 1355 lagoon with a volume of 10,980m<sup>3</sup>. The pond was cleaned out every 4 months. 1356 1357 The efficacy of the **technology** was assumed to be breed, age and weight 1358 independent.

- 1360 1.1.4 IMPLEMENTATION PERIOD
- 1361 Calcium cyanamide was applied to all the manure generated by the animals during1362 the summer period.
- 1363

#### 1364 **2 SAFETY**

- 1366 2.1 REGULATORY APPROVALS
- 1367 Calcium cyanimide did not have approval in the jurisdiction of the assessed system.
  1368 This means CaCN<sub>2</sub> did not meet the criteria set out in the protocol however it will be
- used as a **technology** to demonstrate the application of the protocol.
- 1370
- 1371 It has been approved and available for use in Germany and Austria since late 2021. It 1372 is rolling out to wider markets in the EU.
- 1373
- 1374 2.2 ENVIRONMENTAL IMPACTS
- 1375 No full life cycle assessment has been performed on Calcium cyanimide. This means 1376 CaCN<sub>2</sub> did not meet the criteria set out in the protocol. However, it will be used as a
- 1377 **technology** to demonstrate the application of the protocol.
- 1378
- A CF of the product Perlka, a CaCN<sub>2</sub> fertiliser, was completed by Dekra. This product had a CF of 2,225 kg CO<sub>2</sub>e per tonne of Perlka. As part of this analysis the effectiveness of CaCN<sub>2</sub> as a manure **methane** inhibitor was tested. The emissions associated with the production and degradation of the CaCN<sub>2</sub> product was 27.4 kg CO<sub>2</sub>e/m<sup>3</sup> treated effluent and this resulted in an 87% reduction in emissions
- 1384 compared to the reference case where no  $CaCN_2$  was applied (Schindler, 2021)
- 1385
- Calcium cyanimide is associated with other environmental impacts and results from other areas of concern have not been published. It has been used as to sterilise soil and on its own has been restricted for use as a fertiliser. This is in part due to risks posed to surface water and soils (see here). The extent of these risks in the **context**
- 1390 of use as a manure additive needs to be addressed with a full **LCA**.
- 1391

1392	
1393	Mock example only – no actual <b>LCA</b> undertaken as yet
1394	An <b>LCA</b> was undertaken on manure treatment with and without $CaCN_2$ for a dairy
1395	production system at a rate of 1 kilogram per cubic meter of effluent being processed
1396	in anaerobic pond system and then land applied.
1397	
1398	The <b>LCA</b> showed that the Climate change benefits are 20% higher than those
1399	calculated via the protocol as the <b>LCA</b> included benefits of reduced nitrous oxide as
1400	well as lower <b>methane</b> emissions. The addition of calcium cyanimide to manure
1401	treatment leads to 12-fold increase in freshwater ecotoxicity results of manure
1402	treatment process, which translates into a 10% increase to the freshwater ecotoxicity
1403	results for milk utilizing this <b>technology</b> . All other indicators showed very little
1404	variation between the system with and without the $CaCN_2$ treatment.
1405	
1406	

#### 1407 2.3 IMPACTS TO THE FARMING SYSTEM

- 1408 Application of CaCN<sub>2</sub> has been shown to have other beneficial impacts on the farm
- 1409 system. The manufacturer reports that there are lower hydrogen sulphide emissions
- 1410 from manure with use of the product which improves worker safety. They also claim
- 1411 less floating layer formation and lower necessary storage volume and better1412 fertilising effect of applying the slurry. It can be applied to the slurry without
- 1413 modifications to slurry storage facilities. However, workers need to be careful not to
- 1414 touch, breathe or ingest  $CaCN_2$  and use of personal protective equipment is required.
- 1415 Environmental effects that could impact on farm productivity would be addressed
- 1416 with the required **LCA**.
- 1417
- 1418 The only published literature addressing the impacts of CaCN<sub>2</sub> on cattle health,
- 1419 welfare, productivity, or **product quality** is an article that confirms that cattle should
- 1420 not be directly exposed to  $CaCN_2$  due to the development of dermatitis when added
- to the material spread on the floor (Onda, 2008). Searches of "Calcium cyanamide"
- 1422 AND either "animal health" "animal welfare" "milk" or "cattle" were performed in
- 1423 Google Scholar and Web of Science. Literature was available to support the hazards
- associated with inhalation and skin contact, use as a pesticide, and fertiliser but
- 1425 nothing else specific to impacts on dairy cows or dairy systems, and much of it
- 1426 decades old.
- 1427

## 1428 3 DEMONSTRATING EFFICACY OF TECHNOLOGY

1429 3.1 Evidence 1

1430 The first **piece of evidence** used to support the **GHG emissions reduction** associated 1431 with the use calcium cyanimide is Holtkamp et al. (2023). It is an open access article 1432 with the DOI 10.1016/j.wasman.2023.02.018.

1433

The publication does not meet the requirement that experiments not be conducted in a laboratory setting however this **evidence** is included to demonstrate the application of the protocol. The publication meets the requirement with respect to journal quality. The research was published in the journal Waste Management and the article was published in 2023. A search of the Norwegian Register (<u>results here</u>) shows that the journal Waste Management was a level 1 journal on the register in 2023.

- 1441
- 1442 3.2 Evidence 2
- 1443 Not applicable. (*There is only one piece of evidence to demonstrate confidence in*
- 1444 calcium cyanimide as **GHG emissions reduction technology**. This means calcium

- 1445 cyanimide does not meet the criteria set out in the protocol however it will be used as
- 1446 a **technology** to demonstrate the application of the protocol.)
- 1447
- 1448 3.3 Evidence 3
- 1449 Not applicable.
- 1450

#### **4 DATA QUALITY** When calculating $GHG_{adj_{t(0,6)}}$ based on the difference between two means, the data that are used to calculate the GHG emissions for the nominated source are used to adjust the calculated GHG emissions reduction for data quality. For this worked example, the **GHG emissions** source was **methane** from the dairy effluent pond and the calculations used to estimate these emissions in Dairy Greenhouse Gas Accounting Framework tool (Eckard, 2020) are sourced from the Australian National **GHG** Inventory using the equations below for the dairy location. Equation C.1 $I = (1.185 + 0.00454W^2 - 0.0000026W^2 + 0.315LWG)^2 \times (MP \times 3.054)^2$ $\div 0.6 \div (0.00795 \times DMD - 0.0014) \times 1.1$ where I is the daily intake, W is the liveweight of animals, LWG is the liveweight gain of animals, MP is the milk production and DMD is the dry matter digestibility of the intake. Equation C.2 $M = (I \times (1 - DMD) + (0.04 \times I)) \times 0.0148 \times P$ Where M is the **methane** produced, VS is the volatile solids that enter the effluent system, I is the intake per head per day, DMD is the dry matter digestibility of the daily intake and P is the proportion of manure that is diverted to the effluent system. M is then used to calculate the total **methane** from the animals during the housed period. Equation C.3 $Total M = M \times N \times D$ where Total M is the total **methane** emitted during the period (D) for the number of cattle (N) housed.

#### 1488 The variables that are required to calculate **methane** from the manure management

- 1489 system are those included in the equations above as presented in Table C.1.
- 1490
- 1491 Table C.1 Variables, their values, units, data source and the quality of data used.

Variable	Value	Unit	Data source	Data quality (A, S, T, G)
Liveweight	640	Кg	Averages calculated from measurements	1.5,1,2.5,1
Liveweight gain	0	Kg/hd/day	Calculated from measurements	1.5,1,2.5,1
Dry matter digestibility	70	%	Converted from metabolizable energy of intake	5, 4, 1, 5
Animal numbers	300	Number	Measured	1,1,2.5,1
Proportion of manure captured by effluent system	100	%	Estimated due to animals being housed	1,1,2.5,1
Milk production	25	L/hd/day	Measured	1,1,2.5,1

1492

Animal numbers, liveweight, liveweight gain, milk production, and proportion of
 manure captured by the effluent system had similar **data quality** values. The values
 were direct measures or calculated based on measurements (Data source), came

1496 from the system being assessed (System likeness), were 2 years old (Temporal) and

1497 were from the exact system being assessed (Geographical).

1498

1499 Dry matter digestibility (DMD) was calculated from several data sources. The

1500 concentrations of various feed types and their DMD were based primarily on on-farm

- 1501 data and results of feed tests. However, pasture DMD was based on values from the
- 1502 Australian National Greenhouse Gas Inventory which use an estimate of DMD from a
- 1503 stratified random sample of Australian dairy farms published in 2012 (n=41; Christie
- 1504 2012). This gave a DQ of 5 for a qualified estimate. The systems included in that
- analysis were quite variable in milk production giving a value of 4 for system likeness.Although the study used was over 10 years old, the data were used in the most
- 1507 recent National Greenhouse Gas Inventory, hence it was assumed to be less than 1
- 1508 year old (T = 1) and is applicable to national level assessments (G = 5).
- 1509

1510 **Data quality** was calculated using Equation 6 of the protocol based on values in

1511 Error! Reference source not found. (Equation C.4)

- 1512
- 1513 Equation C.4
- 1514  $\overline{DQ} = \frac{\sum_{i=1}^{n} D_i + S_i + T_i + G_i}{n \times 4}$

1515 =  $\frac{(1.5+1+2.5+1)+(1.5+1+2.5+1)+(5+4+1+5)+(1+1+2.5+1)+(1+1+2.5+1)+(1+1+2.5+1)+(1+1+2.5+1)}{(1+1+2.5+1)+(1+2.5+1)+(1+2.5$ 

6×4

- 1516 = 1.5
- 1517

# 1518 5 CALCULATION OF GHG EMISSIONS REDUCTION

1519 5.1 EVIDENCE USED FOR CALCULATIONS

The publication used for calculations was Holtkamp et al. (2023) as described earlier.
Standard errors were not provided in the text of the publication so were inferred
from published graphs. This has been done to enable the demonstration of the

application of the protocol. Use of this data in the protocol would require that

1524 standard errors of the mean values were reported in written form. The publication

1525 meets requirements for **evidence** as outlined in the previous section.

1526

1527 The use of  $CaCN_2$  in the system being assessed (*i.e.* the  $CaCN_2$  was added to slurry)

1528 was the same as that used in Holtkamp et al. (2023) and the concentration of  $CaCN_2$ 

added was consistent with the rate applied in Holtkamp et al. (2023).

1530

1531 There was a lack of **evidence** to support consistent effectiveness of CaCN<sub>2</sub> across all 1532 seasons where properties of the effluent pond such as water temperature are likely 1533 to change. There was also no **evidence** to support an absence of adaptation of the 1534 microbial population to the use of CaCN<sub>2</sub>. For the purposes of this worked example, it 1535 was assumed that the reductions reported in Holtkamp et al. (2023) were

1536 representative of reductions that occur year-round as long as the instructions for the

1537 product "Eminex" were followed for the entire implementation period.

1538

1539 The research used to support calculations is from a laboratory-based **experiment** 

1540 using sealed flasks containing a litre of effluent. The application of this data to a

1541 commercial dairy effluent system cannot be justified due to differences such as the

1542 volume of the effluent, daily additions of material to the effluent pond and the

- 1543 change in the composition of effluent added to the system. The research was used
- 1544 for this worked example to demonstrate the application of the protocol.
- 1545

- 1546 5.2 EQUATIONS
- 1547 Equations from section 9.3.1 were used to calculate  $GHG_{adj_{t(0.6)}}$  for CH<sub>4</sub> emissions
- 1548 from dairy slurry in the system being assessed.
- 1549
- 1550 Values required to perform calculations are presented in Table C.2 below.
- 1551
- **1552** Table C.2 Symbols used in equations in the protocol, their description and values for the
- **1553**  $CaCN_2$  worked example. Values followed by \* were inferred from graphs in the publication.

Symbol	Description	Value
$\bar{x}_c$	Mean of control group	669
$\bar{x}_t$	Mean of treatment group	3
$SE_{\bar{x}}$	Standard error of control group	340*
$SE_{\bar{x}_t}$	Standard error of treatment group	1.5*
$df_{ar{x}}$	Degrees of freedom for the control group	3
$df_{\bar{x}_t}$	Degrees of freedom for the treatment group	3
n	Number of data variables required to calculate data quality	6
$t_{(0.6,4)}$	Critical <i>t</i> -score for 60% chance of exceedance with 4 degrees of freedom	-0.27

1554

- 1555 Populating the equations from the protocol with the values from section 9.3.1
- resulted in the following (note that equation number refers to the number in theprotocol).

1337 pi

1558

1559 Equation C.5

1560 
$$\Delta_{diff} = \sqrt{\overline{DQ}(SE_{\bar{x}_c}^2 + SE_{\bar{x}_t}^2)} = \sqrt{1.5 \times (340^2 + 1.5^2)} = 430$$

1561

1562 Equation C.6

1563 
$$df = \frac{(SE_{\bar{x}_c}^2 + SE_{\bar{x}_t}^2)^2}{(\frac{SE_{\bar{x}_c}^4}{df_{\bar{x}_c}} + \frac{SE_{\bar{x}_t}^4}{df_{\bar{x}_t}})} = \frac{(340^2 + 1.5^2)^2}{(\frac{340^4}{3} + \frac{1.5^4}{3})} =$$

1564

1565

1566 Equation C.7

1567  $\bar{x}_d = \bar{x}_c - \bar{x}_t = 669 - 3 = 666$ 

1568

1569 Results from Equation C.5 to Equation C.7 are then used to populate Equation C.8.

Equation C.8  $x_a = \bar{x}_d + t_{(0.6.4)} \Delta_{diff} = 666 + -0.27 \times 430 = 550$ Finally, results from Equation C.8 are used to populate Equation C.9. Equation C.9  $GHG_{adj_{t(0.6)}} = \frac{\bar{x}_c - x_a}{\bar{x}} = \frac{669 - 550}{669} = 0.18$ 6 Application of  $GHG_{adj_{t(0.6)}}$ Note that this section is for reference only and is not required to be included in a report produced by the use of the protocol A CF of the system being assessed was calculated using the Dairy Greenhouse Gas Accounting Framework tool (Eckard, 2020). A total of 347 t CO<sub>2</sub>e of methane were emitted by manure from cattle housed in the dairy during the summer period. Multiplying the effluent **methane** over the summer period by  $GHG_{adj_{t(0,6)}}$  resulted in effluent methane emissions of 63 t CO 2e. The CF of the dairy can then be re-calculated by replacing the original value with the adjusted value. By subtracting the adjusted value from the original value, we can claim an emissions reduction of 271 t CO<sub>2</sub>e from methane over the summer period when the animals were fully housed. 

1596 APPENDIX D

#### 1597 WORKED EXAMPLE OF PROTOCOL FOR 3- NITROOXYPROPANOL

1598

1599 Preface

1600

1601 This worked example demonstrates the application of the protocol to the use of 3-1602 nitrooxypropanol (3-NOP) to reduce enteric  $CH_4$  emissions from dairy cattle, with the

1603 data from a relevant publication used to calculate  $GHG_{adj_{t(0.6)}}$ . Based on current

1604 available evidence, GHG emissions reductions associated with the use of 3-NOP

1605 could not be claimed under the protocol for the system being assessed because there

- 1606 *is no life cycle assessment compliant with requirements set out in the protocol.*
- 1607

1608 This worked example demonstrates how the equations in the protocol are applied to

- 1609 calculate  $GHG_{adj_{t(0.6)}}$  and also how the requirements set out in the protocol are
- 1610 *applied to the evidence that demonstrates the efficacy of a technology.*
- 1611

## 1612 1 TECHNOLOGY AND IMPLEMENTATION CONTEXT

- 1614 1.1 SCOPE
- 1615 1.1.1 TECHNOLOGY
- 1616 The product is a chemical named 3-nitrooxypropanol, or 3-NOP. Its CAS number is 1617 100502-66-7. This is a proprietary **technology** owned by DSM, who markets the
- 1618 product under the name "Bovaer".
- 1619
- 1620 1.1.2 USE
- 1621 The 3-NOP feed additive will be included in total mixed rations at a rate of 80 mg/kg
- 1622 DMI that will be mixed with a pre-mixed ration during manufacture.
- 1623 Supplementation will occur in the summer months when housed animals have ad-lib
- 1624 access to a total mixed ration.
- 1625
- 1626 1.1.3 SYSTEM
- 1627 This application of the protocol was specific to the use of 3-NOP in a commercial
- 1628 dairy that was also used for research and educational purposes. The dairy was
- 1629 located in northern Victoria, Australia and receives an average annual rainfall of 556
- 1630 mm, most of which falls in winter. The region has a Mediterranean climate with hot,
- 1631 dry summers and cool winters. The soils were variable and include loams and clay.

- 1632 The dairy was predominantly a pasture-based system with some supplementation 9
- 1633 months a year and a total mixed ration supplied for 3 months (summer). It was a self-
- replacing system and over the year there was an average of 285 Holstein-Friesiandairy cattle.
- 1636

1637 The dairy produced an estimated 109 tonnes of milk solids in 2021 with cows

- 1638 averaging 6,669 litres of FPCM per lactation. The diet of milkers was comprised of
- 1639 pasture and pellets year-round and high protein hay in spring and summer and silage
- 1640 in autumn and winter. Non-milkers were fed pasture and cereal hay in spring with
- pellets added in autumn and winter. The total mixed ration was a mix of commercialdairy pellets and pasture silage.
- 1643
- 1644 The **technology** was assumed to be breed independent and age and weight
- 1645 independent.
- 1646
- 1647 1.1.4 IMPLEMENTATION PERIOD
- 1648 The cattle received the supplement during the 3 months of summer when they were1649 housed.
- 1650 **2 SAFETY**
- 1651 2.1 REGULATORY APPROVALS

1652 The use of 3-NOP has been approved in the European Union at 53 to 80 mg active 1653 substance/ kg of complete feed with 12% moisture content. It was demonstrated 1654 that 3-NOP and its metabolites had no mutagenic or genotoxic potential (Thiel, 2019b). The primary safety concern with 3-NOP is risks to the users of the product as 1655 1656 it was considered an irritant to eyes and skin and is harmful if inhaled. To minimise these risks the additive will be available in granular form with negligible content of 1657 1658 inhalable particles. Use of personal protective equipment is required to prevent contact with eyes or skin (2022) 1659 1660

1661 In the **context** of this use, there is approval for commercial use of 3-NOP in Australia 1662 (Byrne, 2022; DSM, 2024) as long as claims of productivity or health benefits are not 1663 made.

#### 1665 2.2 ENVIRONMENTAL IMPACTS

1666 The European Food Safety Authority concluded that 3-NOP does not have an adverse 1667 effect on consumer safety or the environment (2022) and there is no concern over

- 1668 residues being introduced to the environment or **farming system**(EFSA Panel on
- 1669 Additives Products or Substances used in Animal Feed (FEEDAP), 2021).
- 1670

1671	A LCA using data from the manufacturer (DSM) on the climate impacts of 3-NOP
1672	production was included in an unpublished analysis (Kebreab, 2021). It found that
1673	the production of and shipping of 3-NOP in California had negligible impact on the
1674	total emissions reduction achieved by supplementing dairy diets in California with 3-
1675	NOP. An analysis using similar methodology covering the entire US was published in
1676	2022. Although there was large variability between regions, use of 3-NOP reduced
1677	emissions intensity (kg fat protein corrected milk) by 12%, including emissions
1678	associated with production and transport of feed additives (Uddin et al 2022).
1679	However, a complete <b>LCA</b> of 3-NOP production including all impact categories has
1680	not been done. Therefore, 3-NOP does not comply with the protocol. Nevertheless,
1681	we have continued to work through the protocol using 3-NOP for the purposes of
1682	providing a complete example.
1683	
1684	A LCA of 3-NOP would require impact results based on APPENDIX E.
1685	
1686	It expected that the interpretation of the LCA might be something like.
1687	
1688	Mock example only – no actual <b>LCA</b> undertaken as yet
1689	An <b>LCA</b> was undertaken on Milk production with and without 3-NOP for a generic
1690	production system in Europe and it shows that the Climate change benefits calculated
1691	in the <b>LCA</b> are slightly higher than those calculated via the protocol due to the
1692	conservative data quality corrections included in the protocol. There was no
1693	significant change in eutrophication, water scarcity, land use or soil quality impact.
1694	The resource depletion (fossil fuels) is 3% higher for the system using 3-NOP due to
1695	manufacturing impacts of the supplement.
1696	
1697	
1698	2.3 IMPACTS TO THE FARMING SYSTEM
1699	There have been several studies that investigate the impact of 3-NOP on milk
1700	production, composition, and quality. Less published information is available on
1701	factors specific to animal health or welfare.
1702	

- 1703 The literature summarised was found through searching Google Scholar and Web of
- Science for the terms (3-NOP and milk quality) or (3-NOP AND dairy AND eitherhealth, welfare OR production).
- 1706
- 1707 Published literature has shown no or minor effects on productivity . Several studies
- show no impact on DMI or milk production(van Gastelen, 2020; Van Wesemael,
- 1709 2019). There is some **evidence** for decreases in milk yield (Maigaard et al 2024)
- 1710 which has been observed in animals on a higher 3-NOP dose (60 vs 80 mg/kg DM)
- 1711 (van Gastelen et al., 2022) and dairy cows on high concentrate diets (Schilde, 2021b).
- 1712
- 1713 In terms of composition and quality, 3-NOP is metabolised into endogenous
- 1714 compounds and presence of exogenous residues in the milk is unlikely. (Thiel,
- 1715 2019a). An increase in milk fat has been observed (van Gastelen et al., 2022) but this
- is not consistent across studies (van Gastelen, 2020; Van Wesemael, 2019). Two
- 1717 studies have reported a significant increase in milk urea nitrogen with the use of 3-
- 1718 NOP (Melgar, 2021; Schilde, 2021a), . An overview of reviewed studies focusing on
- 1719 dairy cows is provided in Table D.1.
- 1720
- **1721** Table D.1 Published studies on 3-NOP and the impacts on dairy cattle welfare, feed
- 1722 intake/efficiency, milk production and/or milk composition.

Citation	Health/ Welfare topics	Intake/ Feed efficiency	Milk production	Milk composition/ quality
Garcia et al. (2022)	shifted rumen fermentation from acetate to propionate			
Jayanegara et al. (2018)	decreased total VFA concentration in the rumen	No impacts on DMI	Decrease in milk production (not statistically significant)	No change
Kim et al. (2020)	Decreased % acetate and increase in valerate in rumen	No change in DMI	Decrease in milk production (not statistically significant)	Increase milk fat and protein (not statistically significant)
Kjeldsen et al. (2023)	VFA concentrations in the rumen negatively affected, decreased acetate, & increased concentrations of several alcohols in the rumen.	Decrease in DMI (11%)		
Maigaard et al. (2023)		Decrease in DMI (13%)	Decrease in ECM (9% in)	
Melgar et al. (2020a)	No change in weight, condition, hormones except a decrease in insulin, no impact on postpartum resumption of ovarian activity	No change (as % body mass), no change in feed efficiency	No change in milk or ECM	Only an increase in short-chain fatty acids, no change in sensory properties of milk or cheese

Melgar (2021)		No change in feed efficiency	No change in milk or ECM yields	Increased milk fat and milk urea N concentration
Melgar et al. (2020b)		No change in DMI	No change in milk yield	Increase in fat concentration , tend to increase milk urea N
Schilde (2021a)	No changes to rumen pH but 3- NOP with high concentrated diet had a more propionic- metabolic profile	-	Decrease in ECM in cows on high concentrate diet, not in other cows	Milk lactose and milk urea increased
Schilde et al. (2022)	3-NOP improved the energy budget of dairy cows; no effect on lipomobilization in adipose deposits, and lower serum non-esterified fatty acid conc.			
van Gastelen (2020)	Increased digestibility of several nutrients, animals on 3-NOP gained more weight	No change in DMI or feed efficiency,	No change in milk yield	Addresses impacts on several fatty acids. Overall no change in milk composition.
van Gastelen et al. (2022)		Decrease in DMI, no change in feed efficiency	Depended on 3NOP dose. No change in milk yield with 60 mg 3NOP/ kg of DM, decline with 80 mg 3NOP/ kg DM	Depended on 3NOP dose. No change with 60 mg 3NOP/ kg of DM, decline in major components with 80 mg 3NOP/ kg DM

Van Wesemael et	 No	No change in	No change in
al. (2019)	change in	milk yield	composition
	DMI		

- 1723
- 1724 The European Food Safety Authority concluded that 3-NOP consumption does not
- 1725 have an adverse effect on dairy cows (European Union, 2022). Trials in beef
- 1726 feedstock observed animal using the DART system and found no evidence of welfare
- 1727 impacts (Alemu et al., 2021). Similar animal welfare information for dairy systems is
- 1728 has not been published. More information on the potential impacts of 3-NOP on the
- 1729 rumen of dairy cows is available (Pitta, 2022; Schilde, 2021b).
- 1730 3 DEMONSTRATING CONFIDENCE IN TECHNOLOGY
- 1731 Under the requirements of the protocol, only one **piece of evidence** is required to
- 1732 *demonstrate confidence in the efficacy of 3-NOP when the piece of evidence is a*
- 1733 *meta-analysis*.
- 1734
- 1735 3.1 Evidence 1
- 1736 Meta analysis undertaken by Kebreab et al. (2023) which assessed the reduction in 1737 **enteric methane** associated with feeding 3-NOP. The studies that were included in 1738 the analysis by Kebreab et al. (2023) were not conducted in commercial or research 1739 dairies. They were appropriate studies to demonstrate confidence in this **technology** 1740 because measuring **enteric methane** accurately requires animals are housed in
- 1741 respiration chambers.
- 1742
- 1743 The publication was published in the Journal of Diary Science in 2023, that was a
- 1744 Level 2 journal on the Norwegian Register For Scientific Journals, Series and
- 1745 Publishers at the time of publication. This was demonstrated at this <u>link.</u>
- 1746
- 1747 All studies used included in the **meta-analysis** had controls groups.
- 1748

1749 Kebreab et al. (2023) is an open-access publication with a DOI of 10.3168/jds.2022-1750 22211.

- 1751 **4 DATA QUALITY**
- 1752

#### 1753 Table D.2 Variables and their units, data source and the quality of data used.

Variable	Value	Unit	Data source	Average data quality (D, S, T, G)
3-NOP	80	mg/kg DM	Feed supplier	1.00 (1,1,1,1)
Crude fat	27.1	% DM	Estimated from feed tests	1.13 (1,1.5,1,1)
NDF	26.5	% DM	Estimated from feed tests	1.13 (1,1.5,1,1)
Starch	2.8	% DM	Estimated from research article	2 (1,1,4,1)

1754

For context, 3-NOP intake was based on the average concentration in the purchased
ration, crude fat and NDF intake were based on quality assessments of the feeds in
the ration and the starch content of the feeds was obtained from published
literature. Thus starch content has the lowest data quality rating used for the data
quality assessment.

1760

1761 Data quality was calculated using Equation 6 of the protocol based on values in Table1762 D.2.

- 1764 Equation D.1
- 1765  $\overline{DQ} = \frac{\sum_{i=1}^{n} D_i + S_i + T_i + G_i}{n \times 4}$
- 1766 =  $\frac{(1+1+1+1)+(1.5+1+1+1)+(1.5+1+1+1)+(1+1+4+1)}{(1+1+4+1)}$
- 1767 = 1.3
- 1768

## 1769 5 CALCULATION OF GHG EMISSIONS REDUCTION

#### 1770 5.1 EVIDENCE USED FOR CALCULATIONS

1771 The **evidence** used for calculations is the equation developed using meta-regression 1772 published by Kebreab et al. (2023) with a DOI of 10.3168/jds.2022-22211.

1773

1774 Kebreab et al. (2023) did not address the duration of the effectiveness of 3-NOP 1775 when fed continuously. Studies of 3-NOP have reported different responses between 1776 species of ruminal methanogen (Duin et al., 2016; Pitta et al., 2021) and evidence 1777 (Vyas et al., 2018) suggesting that over time the rumen adapts to 3-NOP and the 1778 dominant species of methanogens changes. This can lead to a reduction in the efficacy of 3-NOP in reducing enteric methane. Research has yet to determine the 1779 1780 factors that regulate rumen adaptation to 3-NOP and enteric methane emissions 1781 increase relative to the start of 3-NOP use. However, a study (Schilde, 2021a) has 1782 demonstrated that rumen adaptation in dairy cattle did not occur over a 148 day period of feeding. The period for which 3-NOP was fed to dairy cattle was summer in 1783 1784 Australia (i.e. December - February), a total of 90 days, was less than the 148 days in 1785 (Schilde, 2021a) so a reduction in enteric CH<sub>4</sub> reduction was claimed for the entire 1786 duration of animals being housed.

1787

Kebreab et al. (2023) is a meta-analysis that examined the reduction in enteric
methane associated with 3-NOP used in barn-housed total mixed ration systems in
different locations. The system being assessed is barn-housed during the summer
period where animals are fed a total mixed ration so emissions reductions could only
be claimed for that period as Kebreab et al. (2023) was not relevant to pasture-based
production.

1794

The studies used in the meta-analysis used animal chambers or sulphur hexafluoride
to estimate enteric methane emissions from animals. The known relationship
between intake and enteric methane provides confidence that the results from the
experiments used in the meta-analysis are relevant to a commercial dairy. As such,
there was a high degree of confidence that the equation from Kebreab et al. (2023)
would be suitable to estimate reduction in enteric methane when implemented in
the system being assessed here.

1802

#### 1803 5.2 EQUATIONS

1804 Kebreab et al. (2023) conducted a meta-regression to examine relationships between
 1805 feed quality variables, 3-NOP and CH<sub>4</sub> yield and then used leave-one-out cross

- 1005 reed quality variables, 5-NOP and Ch4 yield and their used leave-one-out closs
- 1806 validation (LOOCV) to determine the model that explained the most variation.
- 1807

1808 The equation from Kebreab et al. (2023) used to calculate  $\hat{y}$  for the calculation of a 1809 prediction interval was; 1810 1811 Equation D.2 1812  $\Delta$  CH<sub>4</sub> yield (%) = -30.8 - 0.226 × (3-NOP - 70.5) + 0.906 × (NDF - 32.9) + 3.871 × 1813  $(crude fat - 4.2) - 0.337 \times (starch - 21.1)$ = -30.8 - 0.226 × (80 - 70.5) + 0.906 × (26.5 - 32.9) + 3.871 × (2.8 - 4.2) - 0.337 × 1814 1815 (27.1 - 21.1)= -46.2 1816 1817 1818 where 3-NOP = 3-nitroxypropanol dose (mg/kg of DM), and NDF, crude fat, and 1819 starch are in % DM. 1820 1821 Equation D.3  $PI = \hat{y} \pm t_{1-\alpha,k-2} \cdot \sqrt{MSE(1 + x^{T}(X^{T}X)^{-1}x)}$ 1822 1823 1824 is the equation to calculate **prediction intervals** for multiple linear regression 1825 developed using a meta-regression analysis where  $t_{1-\alpha,k-2}$  is the critical *t*-score for the relevant  $\alpha$  with k cases, MSE is the mean square error of the regression 1826 equation, x and X are matrices of the values that are used to populate the equation 1827 1828 to calculate  $\hat{y}$  and the design matrix of the regression equation, respectively, and  $x^T$ and  $X^T$  are x and X transposed. 1829 1830 1831 Equation D.4  $PI = \hat{y} \pm t_{1-\alpha,k-2}$ . RMSE 1832 1833 1834 Equation D.5  $\hat{y}_{adi} = \hat{y} \pm t_{1-\alpha,k-2}$ .  $\overline{DQ}$ . RMSE 1835 1836 1837 Kebreab et al. (2023) did not report prediction intervals from the LOOCV and the 1838 design matrix of the regression equation was not available, however Kebreab et al. 1839 (2023) did report the RMSE of Equation D.2 developed using the LOOCV analysis. 1840 Hence, it was not possible to use Equation D.3 to calculate the prediction interval 1841 and instead Equation D.4, that is generally regarded as being suitable to calculate a 1842 prediction interval, was used as the basis for calculating the prediction interval. 1843 Integrating the data quality adjustment into Equation D.4Error! Reference source 1844 not found. resulted in Equation D.5.

1845 1846 Equation D.6  $GHG_{adj_{t(0.6)}} = \frac{\hat{y}_{adj}}{r_{\infty}^{6}} + 1 = \frac{\hat{y} \pm t_{1-\alpha,k-2}.\overline{DQ}.RMSE}{100} + 1 = \frac{-46.2 \pm 0.256 \times \sqrt{1.3 \times 51.1}}{100} + 1$ 1847 1848 1849 Equation D.7 1850  $GHG_{adj_{t(0.6)}} = \frac{(-44.1, -48.3)}{100} + 1$ 1851 1852 1853 Equation D.8  $GHG_{adj_{t(0.6)}} = \frac{-44.1}{100} + 1 = 0.56$ 1854 1855 1856 1857 The dependent variable of the equation from Kebreab et al. (2023) was an emissions 1858 **reduction** relative to a control and a negative value (*i.e.* the unadjusted value for  $\hat{y}$  of 1859 -46.1 indicated that enteric methane emissions would be 53.8% lower than a control 1860 treatment based on the data used to populate Error! Reference source not found.), hence the appropriate equation to calculate  $GHG_{adj_{t(0.6)}}$  from the protocol was 1861 1862 Equation 7. 1863 Equation D.6 shows Equation 7 of the protocol populated with the relevant equation for  $\hat{y}_{adj}$  and populated with the relevant critical *t*-score, RMSE from Kebreab et al. 1864 (2023) and data quality, as calculated above. Populating Equation D.6 resulted in two 1865

1866 values for  $\hat{y}_{adj}$  (Equation D.7) and, consistent with section 9.2.2 of the protocol that 1867 states the **prediction interval** that results in a value for  $\hat{y}_{adj}$  being greater than the 1868 value for  $\hat{y}$  shall be used, a value of -44.1 was chosen that resulted in a  $GHG_{adj_{t(0.6)}}$ 1869 of 0.56 (Equation D.8).

1870

# 1871 6 Application of $GHG_{adj_{t(0,6)}}$

- 1872 Note that this section is for reference only and is not required to be included in a 1873 report produced by the use of the protocol
- 1874
- 1875 A CF of the dairy under study was calculated using the Dairy Greenhouse Gas
- 1876 Accounting Framework tool (Eckard, 2020). A baseline total of 6 454 kg CO<sub>2</sub>-e of
- 1877 enteric methane were emitted by dairy cows housed in the dairy during the summer
- 1878 period. When 3-NOP was fed to these animals that resulted in **enteric methane**

- 1879 emissions of 3 614 kg CO<sub>2</sub>-e. The CF of the dairy production can be recalculated by
- 1880 replacing the baseline value of 6 454 kg CO<sub>2</sub>-e with 3 614 kg CO<sub>2</sub>-e for **enteric**
- 1881 **methane**. Subtracting the adjusted **GHG emissions** for **enteric methane** from the
- 1882 baseline **enteric methane** value gives a claimable **GHG emissions reduction** of 2840
- 1883 kg CO<sub>2</sub>-e.

# APPENDIX E

Table E.1 Impact categories recommended for the **LCA**. Other impact categories should be used where they are relevant to the **technology**.

Impact category	Recommended method	Rationale
Climate change	IPCC GWP 100 AR6 or more recent updates	The basis of the <b>GHG</b> abatement
Resource use- fossil	Frischknecht et al. (2003) or similar	Production energy requirement for the supplement
Ozone depletion potential	Chanin et al. (1999)	Included due to ozone depleting impact of bromoform (CH <sub>3</sub> Br)
Freshwater Eutrophication	Payen et al. (2021)	Growing Asparagopsis to produce a bromoform based <b>enteric methane</b> inhibitor may involve emission of nutrient rich water from growing systems
Water scarcity	Boulay et al. (2018)	Changes in productivity are possible which may affect water embodied in feed production.
Land use impacts on ecosystem services	Brandão et al. (2011) or similar	Changes in productivity are possible which may affect land demand for feed
Ecotoxicity and Human toxicity	Fantke et al. (2021)	Bromoform has a freshwater ecotoxicity effect and CF value for human toxicity – non cancer.

## APPENDIX F

[RECOMMENDATIONS FOR FUTURE RESEARCH AND USERS OF PROTOCOL – TO B E COMPLETED]